

## FORM - 3B

(Read with Regulation 10)

Name of the Insurer : ROYAL SUNDARAM ALLIANCE INSURANCE COMPANY LTD.

Registration Number : 102

Statement as on : 30-09-2014

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission : Quarterly



PART - A

Rs. Lakhs

## Section I

No	Particulars	SCH	Amount
1	Investments	8	236,543.00
2	Loans	9	0.00
3	Fixed Assets	10	3,565.00
4	Current Assets		
	a. Cash & Bank Balance	11	198.00
	b. Advances & Other Assets	12	22,702.00
5	Current Liabilities		
	a. Current Liabilities	13	141,981.00
	b. Provisions	14	70,461.00
	c. Misc. Exp not Written Off	15	0.00
	d. Debit Balance of P&L A/c	16	0.00
<b>Application of Funds as per Balance Sheet (A)</b>			<b>477,232.00</b>
<b>Less: Other Assets</b>		<b>SCH</b>	<b>Amount</b>
1	Loans (if any)	9	0.00
2	Fixed Assets (if any)	10	3,565.00
3	Cash & Bank Balance (if any)	11	198.00
4	Advances & Other Assets (if any)	12	22,662.00
5	Current Liabilities	13	141,981.00
6	Provisions	14	70,461.00
7	Misc. Exp not Written Off	15	0.00
8	Debit Balance of P&L A/c		0.00
<b>Total (B)</b>		<b>TOTAL (B)</b>	<b>240649</b>
<b>'Investment Assets' As per FORM 3B</b>		<b>(A-B)</b>	<b>236583</b>

## Section II

Rs. Lacs

No.	'Investment' represented as	Reg. %	SH		PH (c)	Book Value (SH + PH) d = (b+c)	% Actual (e)	FVC Amount (f)	Total (g)=(d+f)	Market Value (h)	
			Balance (a)	FRSM* (b)							
1	Central Govt. Securities	Not Less than 20%	0.00	17,510.71	60,142.11	77,652.81	32.83%	0.00	77,652.81	76,739.90	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	Not Less than 30%	0.00	19,089.26	65,563.77	84,653.03	35.79%	0.00	84,653.03	83,692.27	
3	Investment subject to Exposure Norms										
	a. Housing & Loans to SG for Housing and FEE	Not Less than 5%									
	1. Approved Investments		0.00	6,769.30	23,249.78	30,019.08	12.69%	0.00	30,019.08	30,089.85	
	2. Other Investments		0.00	0.00	0.00	0.00		0.00	0.00	0.00	
	b. Infrastructure Investments	Not Less than 10%									
	1. Approved Investments		0.00	16,359.88	56,189.49	72,549.37	30.67%	0.00	72,549.37	73,104.95	
	2. Other Investments		0.00	0.00	0.00	0.00		0.00	0.00	0.00	
	c. Approved Investments	Not exceeding 55%	0.00	11,123.25	38,203.78	49,327.03	20.85%	34.42	49,361.45	49,528.28	
	d. Other Investments	Not exceeding 25%	0.00	0.00	0.00	0.00		0.00	0.00	0.00	
<b>Total Investment Assets</b>			<b>100%</b>	<b>0.00</b>	<b>53,341.69</b>	<b>183,206.82</b>	<b>236,548.51</b>	<b>100.00%</b>	<b>0.34</b>	<b>236,582.93</b>	<b>236,415.34</b>

## Certification

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

- Note:
- (+) FRSM refers 'Funds representing Solvency Margin'
  - Other Investments' are as permitted under 27B(3)
  - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
  - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

FORM 3B PART A FOOTNOTE