

PERIODIC DISCLOSURES
FORM NL-12A-INVESTMENT SCHEDULE - SHAREHOLDERS



Royal Sundaram General Insurance Co. Ltd

30-Sep-20

No.	Particulars		As at 30th SEP 2020		As at 30th SEP 2019
			(₹000)		(₹000)
	LONG TERM INVESTMENTS				
1	Government securities and Government guaranteed bonds including Treasury Bills		40,27,220		37,23,035
2	Other Approved Securities		-		-
3	Other Approved Investments				
	(a) Shares		-		-
	(aa) Equity		49,033		-
	(bb) Preference				
	(b) Mutual Funds		-		-
	(c) Derivative Instruments		-		-
	(d) Debentures/ Bonds		20,83,901		23,58,719
	(e) Other Securities (to be specified)		-		-
	(f) Subsidiaries		-		-
	(g) Investment Properties-Real Estate		-		-
	(h) Fixed Deposits with Banks		-		-
4	Investments in Infrastructure and Social Sector		20,87,862		17,84,691
5	Other Investments-Alternative Investment Funds/Corporate Debentures	3,46,688		4,37,963	
	Less: Provision for Doubtful Investments	(35,508)	3,11,180	(5,008)	4,32,955
	SHORT TERM INVESTMENTS				
1	Government securities and Government guaranteed bonds including Treasury Bills		-		-
2	Other Approved Securities		-		-
3	Other Approved Investments				
	(a) Shares				
	(aa) Equity		4,78,295		5,75,584
	(bb) Preference		-		-
	(b) Mutual Funds		3,39,527		1,05,370
	(a) Derivative Instruments		-		-
	(b) Debentures/ Bonds		8,64,160		8,63,449
	(c) Other Securities-Certificate of Deposit/TREP/CP		77,685		39,489
	(d) Subsidiaries		-		-
	(e) Investment Properties-Real Estate		-		-
	(f) Fixed Deposits with Banks		-		29,844
4	Investments in Infrastructure and Social Sector		1,17,213		6,85,632
5	Other Investments - Corporate Debentures / Equity	90,357		1,95,588	
	Less: Provision for Doubtful Investments	(15,218)	75,139	(3,738)	1,91,850
	TOTAL		1,05,11,215		1,07,90,618

PERIODIC DISCLOSURES
FORM NL-12A-INVESTMENT SCHEDULE - SHAREHOLDERS



Royal Sundaram General Insurance Co. Ltd

30-Sep-20

FORM NL-12B-INVESTMENT SCHEDULE - POLICYHOLDERS

No.	Particulars		As at 30th SEP 2020		As at 30th SEP 2019
			(₹000)		(₹000)
	LONG TERM INVESTMENTS				
1	Government securities and Government guaranteed bonds including Treasury Bills		2,00,00,920		1,49,89,685
2	Other Approved Securities		-		-
3	Other Approved Investments				
	(a) Shares		-		-
	(aa) Equity		2,43,522		-
	(bb) Preference		-		-
	(b) Mutual Funds		-		-
	(c) Derivative Instruments		-		-
	(d) Debentures/ Bonds		1,03,49,556		94,96,675
	(e) Other Securities (to be specified)		-		-
	(f) Subsidiaries		-		-
	(g) Investment Properties-Real Estate		-		-
	(h) Fixed Deposits with Banks		-		-
4	Investments in Infrastructure and Social Sector		1,03,69,226		71,85,525
5	Other Investments-Alternative Investment Funds/Corporate Debentures	17,21,805		17,63,329	
	Less: Provision for Doubtful Investments	(1,76,347)	15,45,458	(20,165)	17,43,164
	SHORT TERM INVESTMENTS				
1	Government securities and Government guaranteed bonds including Treasury Bills		-		-
2	Other Approved Securities		-		-
3	Other Approved Investments				
	(a) Shares				
	(aa) Equity		23,75,422		23,17,416
	(bb) Preference		-		-
	(b) Mutual Funds		16,86,240		4,24,242
	(a) Derivative Instruments		-		-
	(b) Debentures/ Bonds		42,91,791		34,76,418
	(c) Other Securities-Certificate of Deposit/TREP/CP		3,85,815		1,58,992
	(d) Subsidiaries		-		-
	(e) Investment Properties-Real Estate		-		-
	(f) Fixed Deposits with Banks		-		1,20,156
4	Investments in Infrastructure and Social Sector		5,82,129		27,60,491
5	Other Investments - Corporate Debentures / Equity	4,48,753		7,87,478	
	Less: Provision for Doubtful Investments	(75,577)	3,73,176	(15,050)	7,72,428
	TOTAL		5,22,03,255		4,34,45,192

Notes:

- (a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.
- (i) Holding company and subsidiary shall be construed as defined in the Companies Act, 1956:
- (ii) Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
- (i) Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
- (ii) Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.
- (iii) Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

- (b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed.
- (c) Investments made out of Catastrophe reserve should be shown separately.
- (d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.
- (e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both,
- (f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of